



# Automatic Rebalancing Service Form

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CI Investments offers an automatic rebalancing service to all investors. On the first Friday of every month we will review your account to determine if you are scheduled for a review based upon your instructions. If one or more of your fund holdings varies from your target allocation by more than your selected variance, we will automatically switch your investments back to your requested target allocation. There is no fee for this service.

## Unitholder Information:

CI Account Number \_\_\_\_\_ Surname \_\_\_\_\_ First Name \_\_\_\_\_

Dealer Account Number \_\_\_\_\_ Joint Surname (if applicable) \_\_\_\_\_ Joint First Name (if applicable) \_\_\_\_\_

## 1) Create or change your target weighting:

Fund Name	Fund Code	Percentage weighting of target (must total 100%)
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%

## 2) Select Automatic Rebalancing Options\*:

Please apply all switches made within the Automatic Rebalance Program at the:  Fund Level  Account Level.

**Please see the reverse of this page for a more detailed description of these options.**

**\*If a rebalancing option is not indicated, the Automatic Rebalancing Service will default to the Fund Level.**

## 3) Immediate Rebalancing Request (Optional):

Please immediately rebalance my fund holdings as per the target weighting indicated in Section 1. If a selection is made below it will apply to a one-time rebalancing only. Please initiate the switches at the:  Fund Level  Account Level.

## 4) Select your variance:

2.5%    3.0%    3.5%    4.0%    4.5%    5.0%    5.5%    6.0%

6.5%    7.0%    7.5%    8.0%    8.5%    9.0%    9.5%    10.0%

\*Defaults to 2.5%, if no selection is made.

## 5) Select your frequency:

Monthly     Semi-Annually (June & December)

Quarterly (March, June, September and December)                       Annually (month): \_\_\_\_\_

\*Defaults to Quarterly, if no selection is made.

## 6) Client Authorization:

I (We) hereby authorize CI Investments Inc. to automatically rebalance my (our) CI account based on the variance and frequency stated above by switching investments to return to my (our) target fund allocation if one or more fund holding(s) vary by more than the selected variance, I (We) understand there may be tax implications for these transactions for non-registered accounts. Provided a target fund has a fund balance greater than zero, the CI Automatic Rebalancing Program will continue unless CI receives instructions to discontinue. If 100% of one or more target funds within your target allocation are redeemed or switched/transferred from the target fund mix, your target fund allocation will be updated and proportionately allocated to the remaining active funds in your target fund allocation.

Unitholder Signature \_\_\_\_\_ Joint Unitholder Signature (if applicable) \_\_\_\_\_

## Financial Advisor Information:

Dealer/Rep Code \_\_\_\_\_ Financial Advisor Name \_\_\_\_\_

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# Processing Rules for the Automatic Rebalancing Form

To use this form to automatically rebalance an account, please follow these instructions:

## **FUND LEVEL**

When rebalancing at the Fund Level, only the funds listed in Section 1 will be affected. These funds will be rebalanced as per the weightings indicated. All other fund holdings within the account will remain unchanged.

## **ACCOUNT LEVEL**

When rebalancing at the Account Level, all holdings in your account will be affected. All assets in your account will be switched into only those funds listed in Section 1.

## **ADDITIONAL BUSINESS RULES**

- LSIF and Hedge Funds are not eligible for the Automatic Rebalancing Program. If selected, these funds will not be included in the Automatic Rebalancing Program and the remaining selected funds will be set up proportionately.
- US Dollar funds are eligible for the Automatic Rebalancing Program, exclusively within its own currency.
- Please note that both the front-end and back-end versions of the same fund are considered the same underlying fund. Therefore, only one fund needs to be listed with the target weighting. Front-end fund units will be switched to front-end fund units, and back-end fund units will be switched to back-end fund units.
- Rebalancing is not permitted between load types; example: front-end to back-end or front-end to low-end.